



STATE OF MONTANA • DEPARTMENT OF ADMINISTRATION

**DIVISION OF BANKING AND FINANCIAL INSTITUTIONS**

301 South Park, Suite 316 • PO Box 200546 • Helena, MT 59620-0546

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**Website:** [www.banking.mt.gov](http://www.banking.mt.gov) • **E-Mail:** [mortgagelicensing@mt.gov](mailto:mortgagelicensing@mt.gov)

## QUARTERLY STATEMENT OF MONTANA MORTGAGE SERVICING ACTIVITY

Engaged in the Business of Servicing Residential Mortgage Loans  
Under the Montana Mortgage Act

☐ Q1 data

Due May 15<sup>th</sup>

For Quarter Jan. 1 – March 31

☐ Q3 data

Due November 14<sup>th</sup>

For Quarter Jul. 1 – Sept. 30

☐ Q2 data

Due August 14<sup>th</sup>

For Quarter Apr. 1 – June 30

☐ Q4 data

Due February 14<sup>th</sup>

For Quarter Oct. 1 – Dec. 31

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### REPORTING ENTITY

Name of Licensee \_\_\_\_\_

Unique Identifier \_\_\_\_\_

Address of main location \_\_\_\_\_

Name of person preparing this report \_\_\_\_\_

Phone number of preparer \_\_\_\_\_

Fax Number \_\_\_\_\_

E-mail Address \_\_\_\_\_

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### QUARTERLY STATEMENTS CAN BE SENT BACK TO THE DIVISION BY EMAIL, MAIL, OR FAX.

**REGULAR MAIL:**

Division of Banking &  
Financial Institutions  
P.O. Box 200546  
Helena, MT 59620-0546

**OVERNIGHT MAIL:**

Division of Banking &  
Financial Institutions  
301 South Park, Suite 316  
Helena, MT 59601

**FAX:** 406-841-2930

**E-MAIL:** [mortgagelicensing@mt.gov](mailto:mortgagelicensing@mt.gov)

**PHONE:** 406-841-2920

Each licensee is required by Montana law to submit a statement of its mortgage servicing activities (32-9-170, MCA and ARM 2.59.1743). Each licensee should file one report which covers all the activity of the entity for the period described. Ensure the information provided is for **Montana residential mortgage loans only**, not a consolidation of all loans serviced.

The Division recognizes the Expanded NMLS Mortgage Call Report Definitions & Instructions (Instructions) as being applicable to identical terms contained within this form. The Instructions are available online at <http://mortgage.nationwidelicensingsystem.org/slr/common/mcr/Pages/ExpandedMCR.aspx>

**IMPORTANT:** The report must be filed on or before the 45th day after the end of the calendar quarter. Failure to submit a report on time or in the required format will cause the Department to begin proceedings to initiate an enforcement action against your license, which may include suspension or revocation and a fine.

### DEFINITIONS FOR SERVICING ACTIVITY

Wholly Owned Loans Serviced	Enter the UPB and Loan Count for loans that you are servicing and for which you retain all ownership rights.
Loans Serviced Under MSRs	Enter the UPB and Loan Count for loans that you are servicing and for which you own only the Mortgage Servicing Rights.
Subservicing for Others	Enter the UPB and Loan Count for loans that you are subservicing on behalf of others.
Subservicing by Others	Enter the UPB and Loan Count for loans that are Wholly Owned or for which you own the Mortgage Servicing Rights and have contracted with a third-party to service on your behalf.

### MONTANA LOANS SERVICED DURING QUARTER AS OF END DATE

	Unpaid Principal Balance (UPB)	Number of Loans
1. Total Montana Loans Serviced	_____	_____

### SERVICING ACTIVITY

	UPB (\$)	Number of Loans
2. Wholly Owned Loans Serviced	_____	_____
3. Loans Serviced Under MSRs	_____	_____
4. Subservicing for Others	_____	_____
5. Subservicing by Others	_____	_____
6. <b>Total Loans Serviced</b> (must agree with line 1)	_____	_____

### TYPE OF MONTANA LOANS SERVICED DURING QUARTER AS OF END DATE

RESIDENTIAL FIRST MORTGAGES (1 – 4 FAMILY ONLY)	UPB (\$)	Number of Loans
7. Government (FHA/VA/RHS)	_____	_____
8. Prime Conforming	_____	_____
9. Prime Non-Conforming	_____	_____
10. Other	_____	_____
11. <b>Total Residential First Mortgages</b>	_____	_____

## MONTANA LOAN CHARACTERISTICS DURING QUARTER AS OF END DATE

OTHER MORTGAGES		UPB (\$)	Number of Loans
12.	Closed-End Second Mortgages	<hr/>	<hr/>
13.	Funded HELOCs	<hr/>	<hr/>
14.	Reverse Mortgages	<hr/>	<hr/>
15.	Commercial Mortgage Loans	<hr/>	<hr/>
16.	Other	<hr/>	<hr/>
17.	<b>Total Other Mortgages</b> (lines 12 through 16)	<hr/>	<hr/>
18.	<b>TOTAL ALL MORTGAGES</b> (the sum of lines 11 and 17 must equal line 18)	<hr/>	<hr/>

## MONTANA DELINQUENCY/NON-DELINQUENCY STATUS DURING QUARTER AS OF END DATE

		UPB (\$)	Number of Loans
19.	Less than 30 days delinquent	<hr/>	<hr/>
20.	30 to 60 days delinquent	<hr/>	<hr/>
21.	61 to 90 days delinquent	<hr/>	<hr/>
22.	More than 90 days delinquent	<hr/>	<hr/>
23.	<b>Total Delinquency/Non-Delinquency Status</b> (must agree with line 1)	<hr/>	<hr/>

## MONTANA LOSS MITIGATION EFFORTS - MODIFICATIONS

		UPB (\$)	Number of Loans
24.	Loan modification applications in process at beginning of period	<hr/>	<hr/>
25.	Loan modifications completed (non-HAMP)	<hr/>	<hr/>
26.	Mortgage loans modified under HAMP	<hr/>	<hr/>
27.	Loan modification applications terminated by borrower	<hr/>	<hr/>
28.	Loan modification applications denied by lender/servicer	<hr/>	<hr/>
29.	Loan modification applications terminated by other	<hr/>	<hr/>
30.	Loan modification applications received during period	<hr/>	<hr/>
31.	Loan modification applications in process at end of period (line 24 - (line 25 thru line 29) + line 30 = line 31)	<hr/>	<hr/>

## MONTANA DELINQUENCY/NON-DELINQUENCY STATUS AS OF QUARTER END DATE FOR LOANS MODIFIED

		UPB (\$)	Number of Loans
32.	Less than 30 days delinquent	<hr/>	<hr/>
33.	30 to 60 days delinquent	<hr/>	<hr/>
34.	61 to 90 days delinquent	<hr/>	<hr/>
35.	More than 90 days delinquent	<hr/>	<hr/>

## MONTANA DELINQUENCY/NON-DELINQUENCY STATUS AS OF QUARTER END FOR LOANS MODIFIED IN PRIOR QUARTER

		UPB (\$)	Number of Loans
36.	Less than 30 days delinquent	<hr/>	<hr/>
37.	30 to 60 days delinquent	<hr/>	<hr/>
38.	61 to 90 days delinquent	<hr/>	<hr/>
39.	More than 90 days delinquent	<hr/>	<hr/>

## MONTANA FORECLOSURE STATUS

	UPB (\$)	Number of Loans
40. In foreclosure status as of last period end date	_____	_____
41. Moved into foreclosure status in Period	_____	_____
42. Foreclosure resolved other than sheriff sale in Period	_____	_____
43. Foreclosure resulting in sheriff sale in Period	_____	_____
44. In foreclosure status as of End Date (line 40 + 41 - (line 42 + line 43) = line 44)	_____	_____
45. REO as of End Date	_____	_____

What are the proactive steps taken by the licensee to identify Montana residential mortgage loan borrowers at a heightened risk of default, such as those with impending interest rate resets, including, but not limited to, contacts with borrowers to assess their ability to repay their mortgage loan obligations? Attach additional pages if necessary.

Provide the name and NMLS unique identifier of the Mortgage Loan Originator(s), Broker(s), and Lender(s) that originated any Montana residential mortgage loan(s) in default. For each of the above, please indicate the total number of loans in default for each originator(s), broker(s) and lender(s). Attach additional pages if necessary.

Provide a description of the types of workout arrangements involving Montana residential mortgages, including mortgage loan modifications, and the percentage of each type of workout arrangement entered into. Attach additional pages if necessary.

### **AFFIRMATION**

I, \_\_\_\_\_ (name), being the \_\_\_\_\_ (position) of  
\_\_\_\_\_ (company)  
swear or affirm that, to the best of my knowledge and belief, the statements contained in this report, including the accompanying schedules and statements, if any, are true and that the same is a true and complete statement in accordance with the law.

\_\_\_\_\_  
Signature (*typed*)

\_\_\_\_\_  
Date